# An Adaptive Distributed Channel Allocation Strategy for Mobile Cellular Networks

## Guohong Cao

Department of Computer Science and Engineering, The Pennsylvania State University,
University Park, Pennsylvania 16802

E-mail: gcao@cse.psu.edu

and

#### Mukesh Singhal

Department of Computer and Information Science, The Ohio State University,

Columbus, Ohio 43210

E-mail: singhal@cis.ohio-state.edu

Received January 15, 1999; revised August 15, 1999; accepted December 15, 1999

A channel allocation algorithm includes a channel acquisition algorithm and a channel selection algorithm. Most of the previous work concentrates on the channel selection algorithm since early channel allocation algorithms simply use a centralized channel acquisition algorithm, which depends on a mobile switching center (MSC) to accomplish channel acquisition. Recently, distributed channel acquisition algorithms have received considerable attention due to their high reliability and scalability. There are two approaches to designing distributed channel acquisition algorithms: search and update. The update approach has shorter acquisition delay and lower call blocking rate, but higher message complexity. On the other hand, the search approach has lower message complexity, but longer acquisition delay and higher call blocking rate. In this paper, we propose a novel distributed channel acquisition algorithm, which is a significant improvement over both approaches. Also, we identify two guiding principles in designing channel selection algorithms and propose an algorithm which has low call blocking rate and low intrahandoff overhead. By integrating the channel selection algorithm into our channel acquisition algorithm, we get a complete distributed channel allocation algorithm. By keeping the borrowed channels, the channel allocation algorithm makes use of the temporal locality and adapts to the network traffic; i.e., free channels are transferred to hot cells to achieve load balance. Simulation results show that our channel allocation algorithm significantly outperforms



the search approach and the update approach in terms of call blocking rate, message complexity, and acquisition delay. © 2000 Academic Press

Key Words: distributed channel allocation; channel borrowing; cellular networks.

### 1. INTRODUCTION

Cellular communication networks divide a geographical area into smaller hexagonal regions, called cells [9]. Each cell has a *mobile service station* (MSS) and a number of *mobile hosts* (MHs). To establish a communication session (or a call), an MH sends a request to the MSS in its cell. The session is supported if a wireless channel can be allocated for the communication between the MH and the MSS. Since the frequency spectrum is limited, the frequency channels must be reused as much as possible to support the increasing demand for wireless communication. However, two different cells cannot use the same channel if their geographic distance is less than a threshold, called the *minimum channel reuse distance* ( $D_{min}$ ) [1, 15]; otherwise, the communication sessions will interfere with each other, which is referred to as *channel interference*.

A channel is available for a cell if its use in the cell does not interfere with that of other cells. When a cell needs a channel, it acquires one available channel using a channel allocation algorithm. A channel allocation algorithm consists of two parts: a channel acquisition algorithm and a channel selection algorithm. The channel acquisition algorithm is responsible for collecting information from other cells and making sure that two cells within  $D_{\min}$  do not use the same channel. The channel selection algorithm is used to choose a channel from a large number of available channels in order to achieve better channel reuse. The performance of a channel acquisition algorithm is measured by message complexity and acquisition delay. The message complexity is measured in terms of the number of messages exchanged per channel acquisition. The acquisition delay is the time required for an MSS to allocate a channel. The performance of the channel selection algorithm is measured by the call blacking rate. A call is blocked if there is no channel available for use when the call is being set up or when it is being handed over to another cell due to host mobility.

# 1.1. Channel Selection Algorithms

There are three types of channel selection algorithms: fixed, flexible, and dynamic [12]. In the fixed strategies [14], a set of channels are permanently allocated to each cell, which is allowed to use the allocated channels and no others. In the dynamic strategies [3, 7], a cell may use any channel that will not cause channel interference. Channels are not preallocated to cells, but assigned on a dynamic basis. Typically, each channel is associated with a priority, and when a cell needs a channel, it picks the available channel with the highest priority. The channel is later returned to the system when it is no longer needed by the cell. Flexible strategies [19] combine the aspects of both fixed and dynamic strategies. Where each cell is

allocated a fixed set of permanent channels and a number of flexible channels are set aside to be dynamically allocated to cells upon requests.

Among these three strategies, dynamic strategies have been the focus of recent research [1, 7, 15]. Thus, we only consider *dynamic channel selection (DCS)* strategies. With DCS strategies, a cell may use any channel that will not cause channel interference. Typically, each channel is associated with a priority; when a cell needs a channel, it picks the available channel with the highest priority. Thus, various DCS strategies differ from one another in the way priorities are assigned to channels. There are three ways to assign channel priorities: static, dynamic, and hybrid. In a static-priority strategy such as the geometric strategy [1], each channel in each cell is assigned a fixed priority that does not change over time. In a dynamic-priority strategy such as the two-step strategy [6], the channel priority is dynamically computed. A hybrid-priority scheme [8, 20] is something in between: the channel priority is calculated as a static base-priority plus a dynamic adaptive-priority.

In the geometric strategy [1], each cell is assigned some channels as primary channels based on *a priori*. These primary channels are prioritized. During channel acquisition, a cell acquires the available primary channel with the highest priority. If none of the primary channels is available, the cell borrows a channel from its neighbors according to some fixed-priority assignment approach. When a cell acquires a channel, it always acquires the channel with the highest priority. When a cell releases a channel, it always releases the channel with the lowest priority.

In the borrowing with directional channel-locking (BDCL) strategy [20], when a cell needs to borrow a channel, it borrows the channel with the lowest priority from the "richest" interference neighbor, i.e., the cell with the most available primary channels. The motivation behind this is to reduce the chance that the lender might soon use up its primary channels and have to acquire a secondary channel.

In the Nanda–Goodman strategy [15], when a cell borrows a channel, it selects a channel which will interfere with a smaller number of neighbors. When a cell releases a channel, it releases a channel which will make itself available in more interference neighbors.

The two-step strategy [6] combines the geometric strategy and the Nanda–Goodman strategy. In this approach, by using resource planing, the primary channels can be optimally utilized. At the same time, when a cell borrows a channel, it interferes with a minimum number of neighbors. However, since it does not consider the "richness," the lender may soon use up its channel and borrow channels again, and then the advantage of resource planning is missing.

All these algorithms depend on a *mobile switching center* (*MSC*) to accomplish channel acquisition, and then their associated channel acquisition algorithms are referred to a *centralized* channel acquisition algorithms. More specifically, each cell notifies the *MSC* when it acquires or releases a channel so that the *MSC* knows which channels are available in each cell at any time and assigns channels to cells accordingly.

#### 1.2. Channel Acquisition Algorithms

Recently, distributed channel acquisition algorithms [7, 17] have received considerable attention because of their high reliability and scalability. In this

approach, an MSS communicates with other MSSs directly to find the available channels and to ensure that assigning a channel does not cause interference with other cells. In general, there are two approaches to designing distributed channel acquisition algorithms: search [17] and update [7]. In the search approach, when a cell needs a channel, it searches all neighboring cells to find the set of currently available channels and then picks one according to the channel selection strategy. In the update approach, a cell maintains information about available channels. When a cell needs a channel, it selects an available channel according to the underlying channel selection strategy and consults the neighboring cells to find out whetter it can acquire the selected channel. Also, a cell informs its neighbors each time it acquires or releases a channel, so that each cell has up-to-date information on the available channels. Both approaches have advantages and disadvantages. The update approach has shorter acquisition delay and good channel reuse, but it has higher message complexity. On the other hand, the search approach has lower message complexity, but it has longer acquisition delay and poor channel reuse.

In this paper, we identify two guiding principles in designing channel selection algorithms. Following these principles, we propose a channel selection algorithm with which to further improve the performance of the two-step strategy by considering the "richness" and the interference property. Then, we propose a novel distributed acquisition algorithm whose message complexity is similar to that of the search approach and whose acquisition delay is similar to that of the update approach. By integrating the channel selection algorithm into our channel acquisition algorithm, we get a complete distributed channel allocation algorithm. By keeping the borrowed channels, the channel allocation algorithm makes use of the temporal locality and adapts to the network traffic; i.e., free channels are transferred to hot cells to achieve load balance. Detailed simulation experiments are carried out to evaluate our proposed methodology. Our algorithm outperforms centralized approaches such as the geometric strategy [1] and the two-step strategy [6] in terms of call blocking rate and intra handoff overhead under uniform and nonuniform traffic distributions. Our algorithm outperforms distributed algorithms such as the search approach [17] and the update approach [7] in terms of call blocking rate, message complexity, and acquisition delay.

The rest of this paper is organized as follows. Section 2 presents the system model. In Section 3, we propose a channel selection algorithm. Section 4 presents a distributed channel acquisition algorithm, combines it with our channel selection algorithm, and compares the complete channel allocation algorithm with the search and the update approach in terms of message complexity and acquisition delay. In Section 5, we present our simulation results. Section 6 concludes the paper.

#### 2. SYSTEM MODEL

Most channel selection strategies [1, 6, 7] require *a priori* knowledge of channel status in order to achieve better channel reuse. For instance, in the channel allocation strategies [8, 11, 15], each cell is allocated a set of "nominal" channels beforehand; in the geometric strategy [1], each cell must know its "first-choice" channels prior

to any channel acquisition. We call the process of assigning special status to channels resource planning [6, 7].

### 2.1. Resource Planning

The following is a resource planning strategy which has three rules:

- 1. Partition the set of all cells into a number of disjoint subsets  $G_0, G_1, ..., G_{k-1}$ , such that any two cells in the same subset are separated by at least a distance of  $D_{\min}$ . Accordingly, partition the set of all channels into k disjoint subsets:  $P_0, P_1, ..., P_{k-1}$ .
- 2. The channels in  $P_i$  (i = 0, 1, ..., k 1) are primary channels of cells in  $G_i$  and secondary channels of cells in  $G_i$  ( $j \neq i$ ).
- 3. A cell requests a secondary channel only when no primary channel is available.

For convenience, we say that a cell  $C_i$  is a *primary* (secondary) cell of a channel r if and only if r is a primary (secondary) channel of  $C_i$ . Thus, the cells in  $G_i$  are primary cells of the channels in  $P_i$  and secondary cells of the channels in  $P_i$  ( $j \neq i$ ).

DEFINITION 1. Given a cell  $C_i$ , the set of *interference neighbors* of  $C_i$ , denoted by  $IN_i$ , is

$$IN_i = \{C_j \mid \text{distance}(C_i, C_j) < D_{\min}\}.$$

DEFINITION 2. For a cell  $C_i \notin G_p$  and a channel  $r \in P_p$ , the *interference primary cells* of r relative to  $C_i$ , denoted by  $IP_i(r)$ , are the cells which are primary cells of r and interference neighbors of  $C_i$ ; i.e.,  $IP_i(r) = G_p \cap IN_i$ .  $IP_i(r)$  is also referred to as an *interference partition subset* of  $C_i$ .

To achieve better channel reuse, each subset  $G_i$  should contain as many cells as possible. Then, the k should be as small as possible. How to partition the cells is

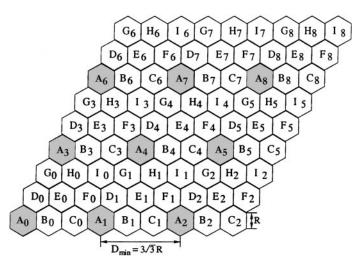


FIG. 1. An optimal partition.

orthogonal to our discussion, but we require that the partition satisfy the following property according to the resource planning model, which is obvious:

Property 1.  $\forall C_i, C_j \in G_p$ : distance $(C_i, C_j) \ge D_{\min}$ .

As shown in Fig. 1, R is the cell radius, and  $D_{\min}$  is the minimum channel reuse distance. Cells are divided into nine subsets  $G_A$ ,  $G_B$ , ...,  $G_I$ . Cells in  $G_A = \{C_{A_i} \mid 0 \le i \le 8\}$  can use the same channel without interference. Because the distance between any two nearest cells in a subset is exactly  $D_{\min}$ , it is an optimal partition in the sense that each channel is maximally reused by its neighbors. When the distance between two cells are exactly  $D_{\min}$ , these cells are called *co-channel* cells. In our optimal partition model, cells in each subset are co-channel cells. For example,  $C_{A_1}$ ,  $C_{A_2}$ ,  $C_{A_3}$ ,  $C_{A_5}$ ,  $C_{A_6}$ , and  $C_{A_7}$  are co-channel cells of  $C_{A_4}$ .

#### 2.2. Handoff and Intrahandoff

An *MH* may cross the boundary between two cells while being active. When this occurs, the necessary state information must be transferred from its previous *MSS* to the *MSS* in the new cell. This process is known as *handoff* (or *interhandoff*) [14]. During a handoff, an *MH* releases its current channel to its previous *MSS* and is assigned a channel by the new *MSS*.

To achieve better channel reuse, *intrahandoff* (or a channel switch) may be necessary [2, 7]. In an intrahandoff operation, an MH releases its current channel and is assigned a new channel within the same cell. The motivation behind intrahandoff can be understood by an example. In Fig. 1, suppose cell  $C_{F_1}$  borrows a channel r1 from  $A_1$  and assigns it to a mobile host  $MH_i$ . Cells  $C_{A_1}$ ,  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$  cannot use channel r1 due to interference. If a call in  $C_{F_1}$  terminates and a primary channel r2 is released, an intrahandoff from r1 to r2 by m1 improves channel reuse, since m1 can be reused by four other cells m1, m1, m1, and m1, m1, m1, and m1, m

#### 3. THE CHANNEL SELECTION ALGORITHM

Similar to the geometric strategy [1] and the update approach [7], our channel selection algorithm makes use of the resource planning model defined in Section 2. The primary channels for each cell are prioritized. During a channel acquisition, a cell acquires the available primary channel that has the highest priority. If one of the primary channels is available, the cell borrows a channel from its neighbors according to some priority assignment approach. Before presenting our priority assignment strategy, we make two observations and identify two guiding principles in designing channel selection algorithms.

Observation 1. In Fig. 1, after  $C_{H_1}$  borrows a channel r1 from  $C_{A_4}$  (r1 is a primary channel of  $C_{A_4}$ ),  $C_{A_1}$ ,  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$  cannot use r1 due to channel interference. Thus, the borrowing of r1 interferes with four cells. Suppose  $C_{F_1}$  borrows r2 from  $C_{A_4}$ . Later,  $C_{H_4}$  runs out of channels. If  $C_{H_4}$  borrows a channel r3

from  $C_{A_4}$ , the borrow of r3 interferes with four cells:  $C_{A_4}$ ,  $C_{A_5}$ ,  $C_{A_7}$ , and  $C_{A_8}$ . However, if  $C_{H_4}$  borrows channel r2 from  $C_{A_4}$ , the borrowing of r2 only interferes with two *new* cells:  $C_{A_7}$  and  $C_{A_8}$ . ( $C_{A_4}$  and  $C_{A_5}$  cannot use r2 since  $C_{F_1}$  borrowed r2.) Later, if  $C_{F_4}$  wants to borrow a channel from  $C_{A_4}$ , it cannot borrow r1 and r2 due to channel interference, but the borrowing of any other channel may interfere with four cells. Note that if  $C_{H_4}$  borrows r1 instead of r2 from  $C_{A_4}$ ,  $C_{F_4}$  can borrow r2 and only interferes with two new cells  $C_{A_7}$  and  $C_{A_8}$ . Based on this observation, we identify the following principle.

PRINCIPLE 1. When a cell borrows a channel, it should select a channel which interferes with a smaller number of lenders. Also, if possible, the selected channel should be the same channel borrowed by its co-channel cells.

Observation 2. In Fig. 1, suppose  $C_{H_1}$  borrows channel r1 from  $C_{A_4}$ . As a result,  $C_{A_1}$ ,  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$  cannot use r1. Suppose  $C_{A_4}$  runs out of primary channels just after it lends channel r1 to  $C_{H_1}$ . Then, it needs to borrow a channel from other cells, which may interfere with four cells. Therefore,  $C_{H_1}$  should only borrow channels from the "richest" interference neighbor, i.e., the cell with the most available primary channels. The motivation behind this is to reduce the chance that the lender might soon use up its primary channels and have to acquire a secondary channel. Based on this observation, we identify the following principle.

Principle 2. A cell should try to borrow a channel from the "richest" interference neighbor.

We propose a priority assignment strategy to integrate these two principles. Let the cells be partitioned into k disjoint optimal reuse patterns  $G_0, G_1, ..., G_{k-1}$ , as defined in Section 2. Without loss of generality, we assume that there are a total of k\*N channels numbered 0, 1, ..., k\*N-1 which are evenly divided into k subsets:  $P_0, P_1, ..., P_{k-1}$  (this assumption is not essential and is made only for ease of presentation). A cell  $C_i$  is assigned N channels numbered  $\min_i$ ,  $\min_i + 1$ , ...,  $\min_i + N - 1$ . Note that  $\forall i \forall j \ (C_i \in G_k \land C_j \in G_k \Rightarrow \min_i = \min_j)$ . To present the priority assignment strategy, we introduce the following notations:

- $A_i$ : the set of currently known available channels at  $C_i$ .
- PC(r): the set of primary cells of r.
- $CO_i(r)$ : the number of co-channel cells of  $C_i$  that are borrowing channel r.
- $I_i(r)$ : the set of cells to which  $C_i$  has lent channel r.
- $\delta$ : when a cell needs to borrow a channel, if possible, it should not borrow channels from those cells whose available channels are less than the threshold  $\delta$ ;  $\delta$  is a system tuning factor (see Section 5.1).

DEFINITION 3. Given a cell  $C_i \notin PC(r)$ , the "richness" of channel r relative to  $C_i$ , denoted by  $RH_i(r)$ , is measured as the minimum number of primary channels available in the interference primary cells of r relative to  $C_i$ :

$$RH_i(r) = \min \big\{ \, |A_j| \mid C_j \in PC(r) \cap IN_i \big\}.$$

DEFINITION 4. Given a cell  $C_i \notin PC(r)$ , before the borrowing of channel r, the number of lenders which have lent r to some cells other than  $C_i$ , denoted by  $BI_i(r)$ , is defined as

$$BI_i(r) = |\left\{ \left. C_j \mid C_j \in PC(r) \cap IN_i \, \wedge \, I_j(r) \neq \varnothing \right\} \right|.$$

Based on these definitions, the priority of a channel r relative to cell  $C_i$  is defined as

$$\mathcal{P}_{i} = \begin{cases} m - (r - \min_{i}) & \text{if} \quad C_{i} \in PC(r) \\ (r - \min_{k}) + o * (CO_{i}(r) + BI_{i}(r)) * (RH_{i}(r) - \delta) \\ & \text{if} \quad C_{i} \notin PC(r) \land C_{k} \in PC(r), \end{cases}$$
(1)

where  $m \gg o \geqslant N$ , e.g., m = 11 \* o \* o, o = N + 1.

From Eq. (1), the primary channels in a cell have the highest priority since m is a significantly large number. For secondary channels, the priority is determined by Principles 1 and 2; if two channels have the same interference property and "richness," the channel with the higher number has higher priority. Initially, we do not consider the relative importance of Principles 1 and 2; this strategy could be extended by changing the relative importance of these two principles. We found (by simulation) that a lender should not lend any channel to others when its available channels are lower than  $\delta$  if it is possible, i.e., the borrower can borrow channels from other cells.

#### 3.1. Reducing the Overhead of Intrahandoff

In Fig. 1, suppose cell  $C_{A_1}$  has two primary channels r1 and r2.  $C_{A_1}$  is using r2, while cells  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$  are using r1. Even though r1 is available in  $C_{A_1}$  and r2 is available in cells  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$ , neither r1 nor r2 can be borrowed by  $C_{H_1}$ . If an intrahandoff is performed (i.e.,  $C_{A_1}$  releases r2 and uses r1),  $C_{H_1}$  can borrow r2. Thus, when a cell has several available primary channels, it acquires the highest priority channel and releases the lowest priority channel. If a newly available primary channel has higher priority than some used primary channels, an intrahandoff is performed.

Since intrahandoffs increase system overhead, we use the following approach to reduce the number of intrahandoffs. If an intrahandoff is between two channels whose channel sequence numbers are smaller than a threshold  $\theta$ , this intrahandoff can be avoided. The reason is as follows. According to our channel priority assignment strategy, a cell uses small sequence number channels and lends high sequence number channels to other cells. For a cell  $C_i$ , if both intrahandoff channels have small sequence numbers,  $C_i$  is more likely to have a large number of available channels, and it has a low probability for other cells to select the intrahandoff channels to borrow.

In our algorithm, for a cell  $C_i$ , the threshold  $\theta$  is set to be  $\min_i + N/2$ . Certainly, a fine grain tuning may further reduce the number of intrahandoffs, but it may also increase the call blocking rate.

#### 4. AN ADAPTIVE DISTRIBUTED CHANNEL ALLOCATION ALGORITHM

In this section, we investigate the fundamental difference between the search and the update approaches. Then, we propose a distributed channel acquisition algorithm and combine it with our channel selection algorithm to get a complete channel allocation algorithm. Finally, we compare the complete channel allocation algorithm with the search and the update approaches in terms of message complexity and acquisition delay.

## 4.1. Search vs Update

Both the search and the update approaches time stamp control messages using Lamport's logical clocks [13] to determine the priority of requests.

# 4.1.1. The Search Approach

In the search approach [17], when a cell (the borrower) needs to borrow a channel, it changes to search mode and sends request messages to each cell in  $IN_i$ . When a cell (the lender) receives a request from the borrower, if the lender is not in the search mode or it is in the search mode but its request has higher timestamp (lower priority) than the borrower, the lender sends a reply message to the borrower which contains information about its used channels; otherwise, the lender defers the reply (similar to [18]). After the borrower has received all the reply messages from each cell in  $IN_i$ , it computes the available channels and picks one, say r, from them. The borrower sends confirm messages to the lenders of r. If all lenders reply agree, the borrower can use r; otherwise, the borrower picks another available channel and repeats the process. If there is no available channel left, the call request is failed. When a channel r is borrowed, the lender marks r as an interference channel, and it cannot use r until r is returned by all borrowers.

#### 4.1.2. The Update Approach

In the update approach [7], a cell maintains information about the available channels. When a cell (the borrower) needs to borrow a channel, it picks an available channel r according to the underlying channel selection strategy and then sends a request message to each cell in  $IN_i$ . A cell that receives a request replies with a reject if either it is using r or it is also requesting for r with a smaller time stamp; otherwise, it replies with an agree. If the borrower has received agree messages from all the cells in  $IN_i$ , it notifies them that it has successfully acquired channel r; otherwise, it picks another available channel and repeats the process. When the borrower finishes the use of the borrowed channel, it sends a release to each cell in  $IN_i$ .

### 4.1.3. A Comparison

In the search approach, a cell communicates with its interference neighbors only when it needs to borrow a channel. However, in the update approach, a cell keeps

communicating with its interference neighbors in order to get the up-to-date information. Clearly, the update approach is likely to have significantly higher message complexity than the search approach. In the search approach, a cell needs to confirm a selected channel, which doubles the acquisition delay compared to the update approach.

Many good channel selection strategies rely on a cost function to determine which channel to borrow and which channel to release. For example, when a newly available channel has a higher priority than a used channel, an intrahandoff is necessary to achieve better channel reuse. In the update approach, a cell maintains all the necessary information about its interference neighbors in order to use the cost function. Thus, this approach can support many channel selection strategies. However, in the search approach, a cell collects neighbor information only after a *search*. But the collected information maybe outdated when the cell releases a channel. Therefore, many good channel selection strategies cannot be supported in the search approach. Moreover, the search approach [17] locks the borrowed channel during channel borrowing, which also reduces channel reuse. In the following, we propose a channel acquisition algorithm which reduces the acquisition delay and which does not lock the borrowed channel.

#### 4.2. A Distributed Channel Acquisition Algorithm

# 4.2.1. Reducing the Acquisition Delay

In the search approach, a cell has to *confirm* a selected channel with the lenders since a lender may assign that channel to a new call immediately after it sends a reply. One way to avoid confirm is to let the channel lenders wait until they know which channel the borrower has selected. However, this requires all interference neighbors to lock their channels for 2 \* T (T is one-way communication delay), which may not be desirable most of the time. Our solution to this problem is as follows. When a cell receives a request, it marks some channels as reserved channels and then sends its channel information to the borrower. The borrower selects a channel using its channel selection algorithm. If the selected channel is not a reserved channel, it can use the selected channel without confirming with the lenders. Otherwise, it needs to confirm with the lenders, as in the search approach. In both situations, a cell sends finish (or transfer) messages to its interference neighbors before it starts using the borrowed channel. For any interference neighbor, if a call arrives during the channel borrowing process, it assigns a reserved channel to the call. If a call arrives after a cell has used all its reserved channels, the cell cannot assign any other available channels to this call until it receives the *finish* (or *transfer*) messages.

### 4.2.2. Notations

The following notations are used in our channel acquisition algorithm.

- $IN_i$ ,  $IP_i(r)$ : defined before.
- S: the set of all the channels in the system.

- $P_i$ : the set of primary channels assigned to  $C_i$ .
- $U_i$ : the set of used channels at  $C_i$ .
- $A_i$ : the set of currently known available channels at  $C_i$ .
- $reserved_i$ : the set of reserved channels at  $C_i$ ;  $C_i$  has at most  $N_i$  reserved channels, where  $N_i$  is a system tuning parameter (see Section 5.1).
- pick(A): pick a channel r from a set of available channels A using the channel selection algorithm.
- $send_i$ : the set of cells to which  $C_i$  has sent a reply message but has not received the finish (or transfer) message.
- $I_i(r)$ : the set of cells to which  $C_i$  has sent an agree(r); if  $I_i(r) \neq \emptyset$ , r is an interference channel of  $C_i$ , in which case  $C_i$  cannot use r but can lend it to other cells.

## 4.2.3. The Channel Acquisition Algorithm

In the distributed channel acquisition algorithm, if a cell  $C_i$  has an available primary channel r, it can use r immediately unless an interference neighbor is in the search mode  $(send_i \neq \emptyset)$ . When  $send_i \neq \emptyset$ ,  $C_i$  can only use the channels in  $reserved_i$  or wait for  $send_i = \emptyset$ . If  $C_i$  does not have any available primary channel, it searches all neighboring cells to find the set of available channels and picks one from them. When  $C_i$  borrows a channel r, the interference primary cells of r relative to  $C_i$  cannot use r until  $C_i$  returns r.

A formal description of the algorithm is given in Fig. 2. If no channel is selected from the reserved channels, five types of messages are exchanged among *MSS*s to borrow or return a channel: *request*, *reply*, *finish* (or *transfer*), and *release*. Otherwise, two additional messages are needed: *confirm* and *abort*.

In the channel acquisition algorithm, several call requests may arrive when a cell is in the search mode. In this case, the cell can just pick more channels and assign them to these call requests. For simplicity, this is not explicitly presented in the algorithm.

## 4.3. Correctness Proofs

THEOREM 1. The distributed channel acquisition algorithm ensures that a cell and its interference neighbors do not use the same channel concurrently.

- *Proof.* Assume the contrary, that two cells  $C_i$  and  $C_j$  ( $C_i \in IN_j$ ) are using the same channel r. Since the distance between two primary cells is at least  $D_{\min}$  (Property 1),  $C_i$  and  $C_j$  cannot both be primary cells of r. Hence, at least one of them is a secondary cell of r.
- Case 1.  $C_i$  is a primary cell of r and  $C_j$  is a secondary cell of r. Then  $C_i \in IP_j(r)$ . When  $C_i$  receives its own call request and depends on the condition of  $reserved_i$ , there are three possibilities.
- Case 1.1:  $send_i = \emptyset$ .  $C_i$  uses r to support the call request, and adds r to  $U_i$  (Step A.1). When  $C_j$  receives  $C_i$ 's  $reply(U_i, reserved_i)$ ,  $r \in U_i \Rightarrow r \notin A_j$  according to Step C.1. Then  $C_j$  cannot acquire r.

#### Initialization

For any cell  $C_i$ ,  $A_i$  and  $P_i$  are set to be the set of primary channels assigned to  $C_i$ .  $U_i$ ,  $reserved_i$ , and  $send_i$  are set to empty. For any channel  $r \in P_i$ ,  $I_i(r)$  is set to empty.

- (A) When a cell  $C_i$  needs a channel to support a call request, if  $A_i = P_i U_i \{r \mid I_i(r) \neq \emptyset \land r \in P_i\}$  is empty, it sets its search mode, and sends a request message to each cell  $C_j \in IN_i$ ; otherwise, it does the follows:
  - (A.1)  $send_i = \emptyset$ : let  $r = pick(A_i)$ , it adds r to  $U_i$ , and then uses r to support the call. When the call is finished, it deletes r from  $U_i$ .
  - (A.2)  $send_i \neq \emptyset$ : if  $reserved_i$  is not empty, let  $r = pick(reserved_i)$ ,  $C_i$  adds r to  $U_i$ , deletes r from  $reserved_i$ , and then uses r to support the call; otherwise, it defers the call request until  $send_i$  is empty.
- (B) When a cell C<sub>i</sub> receives a request message from C<sub>j</sub>, if it is also in the search mode and its request has smaller timestamp than C<sub>j</sub>'s request, it defers its response until it clears its search mode; otherwise, it does the follows:
  - (B.1)  $send_i = \emptyset$ :  $C_i$  picks  $N_i$  channels and assigns them to  $reserved_i$ , then it adds  $C_j$  to its  $send_i$  and  $sends\ reply(U_i, reserved_i)$  to  $C_j$ .
  - **(B.2)**  $send_i \neq \emptyset$ :  $C_i$  adds  $C_j$  to its  $send_i$  and sends  $reply(U_i, reserved_i)$  to  $C_j$ .
- (C) When a cell  $C_i$  receives all reply messages from cells in  $IN_i$ :
  - (C.1) It determines the currently available channels as follows:  $A_i = S U_i \{r \mid I_i(r) \neq \emptyset \land r \in P_i\} \bigcup_{k \in IN_i} U_k$
  - (C.2) If  $A_i$  is empty,  $C_i$  drops the call, clears its search mode, and processes all the deferred reply messages. If  $A_i$  is not empty, let  $r = pick(A_i)$ , if there is any cell  $C_j$  such that  $C_j \in IP_i(r) \land r \in reserved_j$ ,  $C_i$  sends a confirm(r) to  $C_j$ ; otherwise, the request is successful.
  - (C.3) In case of success,  $C_i$  clears its search mode, adds r to  $U_i$ , sends transfer(r) to every cell in  $IP_i(r)$ , sends finish to every cell in  $IN_i IP_i(r)$ , and processes all the deferred reply messages. When  $C_i$  finishes the call, it sends release(r) to every cell in  $IP_i(r)$ .
- (D) When a cell  $C_i$  receives a confirm(r) from  $C_j$ , if it is not using r, it deletes r from  $reserved_i$  and responses with an agree; otherwise, it responses with a reject.
- (E) If  $C_i$  receives an agree message from each cell to which it has sent a confirm(r), the request is successful, go to Step C.3; otherwise,  $C_i$  deletes r from  $A_i$ , sends an abort(r) to every cell from which it has received an agree, and then goes to Step C.2.
- (F) When a cell  $C_i$  receives an abort(r), release(r), finish, or transfer(r) from  $C_j$ , it does the following:

abort(r):  $C_i$  adds r to  $reserved_i$ .

release(r):  $C_i$  deletes  $C_j$  from  $I_i(r)$ .

 $finish: C_i$  deletes  $C_j$  from  $send_i$ . If  $send_i$  is empty, it processes all deferred call requests.

transfer(r):  $C_i$  adds  $C_j$  to  $I_i(r)$  and deletes  $C_j$  from  $send_i$ . If  $send_i$  is empty, it processes all deferred call requests.

- FIG. 2. The distributed channel acquisition algorithm.
- Case 1.2:  $send_i \neq \emptyset \land r \in reserved_i$ .  $C_i$  uses r to support the call request, and adds r to  $U_i$  (Step A.2). In order to use r,  $C_j$  sends confirm(r) to  $C_i$ , but  $C_i$  rejects this confirm(r).
  - Case 1.3:  $send_i \neq \emptyset \land r \notin reserved_i$ . There are two possibilities:
  - Case 1.3.1:  $C_i \in send_i$ .  $C_i$  waits until  $C_i$  acquires r (Step A.2).
- Case 1.3.2:  $C_j \notin send_i$ . If  $C_j$ 's request arrives before  $send_i = \emptyset$ , it is similar to Case 1.3.1; otherwise, it is similar to Case 1.1.
- Case 2.  $C_j$  is a primary cell of r and  $C_i$  is a secondary cell of r. Similar to Case 1.

Case 3. both  $C_i$  and  $C_j$  are secondary cells of r. In order to borrow channel r,  $C_i$  and  $C_j$  must have received each other's reply message. Without loss of generality, we assume that  $C_i$ 's request has a smaller time stamp than  $C_j$ 's request. Then,  $C_j$  receives  $C_i$ 's reply after  $C_i$  has borrowed channel r and added r to  $U_i$ . When  $C_j$  receives  $C_i$ 's  $reply(U_i, reserved_i)$ ,  $r \in U_i \Rightarrow r \notin A_j$  according to Step C.1. Then,  $C_j$  cannot acquire r.

## THEOREM 2. The distributed channel acquisition algorithm is deadlock free.

*Proof.* New channel requests originating concurrently in different cells are totally ordered by their time stamps. An *MSS* in search mode sends *reply* messages to all *requests* with a lower time stamp and defers others. As the same ordering of channel requests is seen by all the *MSS*s, there is no circular deferring of *reply*s among the *MSS*s.

Because the communication link is reliable, the MSS whose request has the highest priority can always receive all reply messages from its interference neighbors and determine whether to confirm the selected channel. An MSS receiving a confirm responds immediately with either an agree or a reject message. Then, the MSS whose request has the highest priority can always decide whether it can successfully borrow a channel or not. Then, it processes the deferred reply and sends finish or transfer messages. The MSS deferring its own call request can always receive finish or transfer message, empty send<sub>i</sub>, and then process the deferred call request.

# 4.4. The Complete Channel Allocation Algorithm

Most of the existing DCS strategies [4, 5, 8, 10, 11, 12, 16, 19] need up-to-date information to calculate channel priority. This can be easily implemented in centralized algorithms, since an *MSC* monitors every release and acquisition of channels, and thus has up-to-date information. However, in a distributed channel allocation algorithm, due to unpredictable message delay, obtaining the instantaneous global state information is practically impossible. Thus, we can only get the approximately up-to-date information by increasing the message overhead. To combine the channel selection algorithm with our distributed channel acquisition algorithm and without significantly increasing the message overhead, we make the following modifications to our algorithm:

- Whenever a cell borrows a channel, it asks its six surrounding co-channel cells for the channels they have borrowed. This information is used to calculate channel priority using Eq. (1).
- To make use of locality, a cell does not return the *borrowed* channel immediately after its use. Instead, it keeps the borrowed channel. Thus, there are two kinds of borrowed channels: *used-borrowed channels* and *available-borrowed channels*. Used-borrowed channels are counted as used channels. Available-borrowed channels are counted as available channels and can be lent to other cells. For example, in Fig. 1, suppose  $C_{H_1}$  borrows a channel r from  $C_{A_4}$ . After using r, it keeps r as an available-borrowed channel. Later,  $C_{B_4}$  wants to borrow r from  $C_{A_4}$ .  $C_{B_4}$  knows that r is an available-borrowed channel based on the collected channel information

from its interference neighbors. Thus,  $C_{B_4}$  only needs to confirm with  $C_{H_1}$  and  $C_{A_7}$  before using r. It does not need to receive permission from  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$  since these cells have granted the permission to  $C_{H_1}$ . If  $C_{H_1}$  agrees, it sends agree to  $C_{B_4}$  and sends release to  $C_{A_1}$ . If  $C_{B_4}$  also gets agree from  $C_{A_7}$ , it sends a special message to notify  $C_{A_2}$ ,  $C_{A_4}$ , and  $C_{A_5}$  that  $C_{H_1}$  has released them and  $C_{B_4}$  is locking them. Receiving this special message,  $C_{A_2}$  deletes  $C_{H_1}$  from  $I_{A_2}(r)$  and adds  $C_{B_4}$  to  $I_{A_2}(r)$ .  $C_{A_4}$  and  $C_{A_5}$  act similarly.

When a cell knows that its lender's available channels are less than a threshold  $\eta$  (determined in Section 5.1), it releases the borrowed channels (from that lender) if they are not being used. Otherwise, it should perform an intrahandoff to release that channel if possible (it is not possible when there it no available channel). Whenever a communication session (or a call) is over, the cell checks whether it has too many available channels (e.g., 10% of the number of assigned primary channels); if so, it releases some available-borrowed channels.

• There are two approaches to reducing the message overhead. In Approach 1, we modify Steps A.1 and A.2 of our channel acquisition algorithm as follows: when a cell acquires or releases a primary channel, it notifies all cells which have borrowed channels from it. Then, a cell keeps the up-to-date information for calculating the channel priority of its interference neighbors. This approach reduces the message overhead compared to the update approach, since the number of borrowers is very small compared to the number of interference neighbors. In Approach 2, a cell only notifies the cells that have borrowed channels from it when its available channels are less than  $\eta'$  ( $\eta' > \eta$ ).

The disadvantage of Approach 2 is that the borrower may not know the up-to-date information. The advantages are low message overhead and low intrahandoff overhead. Knowing the up-to-date information is only helpful when releasing the borrowed channels. Because we want to make use of locality by keeping borrowed channels, and because a borrowed channel is released when its lender's available channels are lower than  $\eta$ , it may not be necessary to know the up-to-date information of the lender considering the high message overhead. Thus, we implemented Approach 2 in our algorithm with  $\eta'=10\%$  of the number of assigned primary channels.

By these modifications, our distributed channel allocation algorithm significantly reduces message complexity. Moreover, our algorithm adapts to the network traffic; i.e., free channels are transferred to hot cells to achieve load balance.

# 4.5. Performance Analysis and Comparison

We analyze the performance of our channel allocation algorithm and compare it to the search [17] and the update approaches [7]. Let  $n_p$  denote the number of interference primary neighbors of a cell. The number of messages per primary channel acquisition and the primary channel acquisition delay are both 0. If there is no need to confirm with the lenders, the average secondary channel acquisition delay is 2 \* T and the number of messages per secondary channel acquisition is  $3n + 12 + n_p$ , which includes n + 6 (there are six co-channel cells) request and reply

•	1 / /	• •
Approach	Message complexity	Acquisition delay
Search	$\alpha' * (2 * n + 3 * n_p * (1 + m'))$	$2 * T * (2 + m') + T'_d$
Update	$2 * n + \alpha'' * (3 * n_p * m'' + 2 * n_p)$	$2 * T * (1 + m'') + T''_d$
Our approach	$\leq \alpha''' * (3 * n + 12 + 3 * n_p * m''' + n_p) + n_u$	$2 * T * (1 + m''') + T_d'''$

TABLE 1
Comparison of the Update, the Search, and Our Approach

messages,  $n-n_p$  finish, and  $n_p$  transfer and release messages. If a cell confirms m  $(m \ge 1)$  times before it acquires a channel, the number of messages per secondary channel acquisition is  $3n+12+n_p+2*m*n_p+(m-1)*n_p$ , where  $2*m*n_p$  indicates m rounds of maximum  $n_p$  number of confirm and agree (or reject) messages, and  $(m-1)*n_p$  indicates the maximum number of abort messages for the m-1 times failed confirm.

Let  $n_u$  denote the number of *update* messages needed in our algorithm,  $\alpha(\alpha', \alpha'', \alpha''')$  denote the percentage of secondary channel acquisition, m(m', m'', m''') denote conflict rates, and  $T_d(T_d, T_d'', T_d''')$  denote the extra deferred delay due to a conflict. Table 1 lists the average number of messages per channel acquisition and the average secondary channel acquisition delay in the search approach, the update approach, and our approach.

In a typical cellular network model with  $D_{\min}=3\sqrt{3}\,R$ , we have n=30 and  $n_p=3$  or 4. Normally (according to the simulation), m and  $T_d$  are both very small compared to T. Also,  $\alpha'''<\alpha''<\alpha'$ . From Table 1, our algorithm almost cuts the secondary channel acquisition delay to half compared to the search approach. When the channel request load is low, it is usually not necessary for a cell to borrow channels from others; thus, both  $\alpha$  and  $n_u$  are near 0 under low channel request load. When the channel request load increases, more cells run out of primary channels and have to make more secondary channel acquisitions, and the value of  $\alpha$  and  $n_u$  increases. Normally (according to the simulation results), even when the channel request load increases to 100%,  $\alpha$  is still less than 0.3 and  $\alpha$  is far smaller than  $\alpha$  (note that  $\alpha$  is 0 if we use the geometric strategy or the update approach as the underlying channel selection algorithm). Thus, our approach significantly reduces the message complexity compared to the update approach, whose message complexity is always larger than  $\alpha$  in the part of  $\alpha$  is always larger than  $\alpha$  in the part of  $\alpha$  in the part of  $\alpha$  in the part of  $\alpha$  is always larger than  $\alpha$ .

There are some similarities between the search approach and the proposed algorithm; e.g., both keep the borrowed channel and both halve low message complexity. However, there are significant differences between these two approaches. First, by reserving some channels during channel acquisition, the proposed algorithm cuts the delay by almost half. Second, in the search approach, a cell never returns the borrowed channel. After a cell borrows a channel, it becomes the owner of the borrowed channel. Due to this ownership change, it is impossible to make use of resource planning in the search approach. As a result, a cell randomly chooses a channel without considering optimal channel reuse, which results in a high call blocking rate. Because cells randomly borrow channels from their neighbors, after a borrower borrows a channel from a lender, the lender may run out of channel

and borrow channels from its neighbors (even the borrower), which results in a problem similar to context switching. In our algorithm, this problem is avoided by following Principle 2, where a cell only borrows channels from the richest neighbors. By following Principles 1 and 2, our algorithm has lower secondary channel acquisition rate than the search approach. As a result, our algorithm has lower message complexity and lower acquisition delay. Third, The search approach does not have intrahandoff—as explained in Section 2, intrahandoff can significantly reduce call blocking rate—and thus, our algorithm has a lower call blocking rate than the search approach. Note that the search approach also has some advantages, such as a simple and no intrahandoff overhead.

#### 5. SIMULATION RESULTS

We studied the performance of the proposed channel allocation algorithm, the search approach [17], the update approach [7], the geometric strategy [1], and the two-step strategy [6] using extensive simulations. For each arrival rate, the mean value of a measured parameter is obtained by collecting a large number of samples such that the confidence interval is reasonably small. In most cases, the 95% confidence interval for the measured data is less than 10% of the sample mean.

#### 5.1. Simulation Parameters

The simulated cellular network is a wrapped-around layout with 12\*12 cells. The total number of channels in the system is 396. If a fourth-power law attenuation is assumed [1, 14], the signal-to-interference ratio is given by  $[S/I]_{\min} = [(D_{\min}/R) - 1]^4/6$ . With  $D_{\min} = 3\sqrt{3}R$ ,  $[S/I]_{\min} \approx 17$  dB, which is a reasonable value in practice. Thus, we choose  $D_{\min} = 3\sqrt{3}R$ , and then each cell is assigned 396/9 = 44 channels. Since a single bit is enough to represent whether or not the channel is used, the control message in our algorithm is very small compared to the header. Considering that an MSS may not respond immediately to incoming messages, we assume that the average one-way communication delay between two MSSs is 2 ms, which covers the transmission delay, the propagation delay, and the message processing time.

Under uniform traffic distribution (shown in Table 2), traffic in each cell is characterized by the mean arrival time, mean service time, and mean interhandoff time, all assumed to be negative exponentially distributed.

TABLE 2
Simulation Parameters for Uniform Traffic Distribution

Mean arrival rate in a cell	λ	
Mean interhandoff rate in a normal cell	1/60 s	
Mean service time per communication session	180 s	
•		

		$\mathbf{T}_{A}$	ABLE 3		
Simulation	Parameters	for	Nonuniform	Traffic	Distribution

Mean arrival rate in a normal cell	λ
Mean arrival rate in a hot cell	$3\lambda$
Mean interhandoff rate in a normal cell	1/60 s
Mean interhandoff rate in a hot cell	1/180 s
Mean rate of change from normal state to hot state	1/1800 s
Mean rate of change from hot state to normal state	1/180 s
Mean service time per communication session	180 s

Under nonuniform traffic distribution, a cell can be in one of two states: *hot state* or *normal state*. As shown in Table 3, a cell spends most of its time in the normal state. A cell in the normal state is characterized by low arrival rate and high interhandoff rate. On the contrary, a cell in the hot state is characterized by high arrival rate and low interhandoff rate to picture more arriving new users and prevailing stationary users. Also, the state change rate is assumed to be negative exponentially distributed.

We assume  $N_i$  in our algorithm is 1. If  $N_i$  is 0, all the interference neighbors of a borrower have to lock their channels for 2 \* T time limit, which is not affordable. With  $N_i = 1$ , when a lender receives a new call from its own cell during its locking period (from the time when it sends out a reply to the time when it receives a finish or transfer), it can assign the reserved channel to the new call, but it needs to wait if it receives another new call during the locking period. Under 100% channel request load, our simulation shows that the probability for any interference neighbor of a borrower receiving two calls during the locking period is as low as 0.00003, which is negligible. With  $N_i > 1$ , the probability of receiving two calls during the locking period can be further reduced. However, the probability for the borrower to select a reserved channel becomes larger. When a cell selects a reserved channel, it needs an extra round of confirm messages and doubles the acquisition delay. For example, with  $N_i = 2$ , the extra delay due to *confirm* at the borrower side is nearly doubled compared to  $N_i = 1$  from our simulation. Since 0.00003 is already a very small number, further reducing the probability of waiting at the lender side by increasing  $N_i$  cannot compensate for the increased delay at the borrower side. Thus, we only consider  $N_i = 1$  in our simulation. Similarly, a lender only needs 2 \* T to ask the borrowers to return the borrowed channels, and the lender has a very low probability (0.00003) of receiving another call during the 2 \* T. Hence, we choose  $\eta = 1$  in our simulation.

We use simulation to determine the value of parameter  $\delta$ . We consider  $\delta$  to be 0, 5% ( $\delta$  = 2), 10% ( $\delta$  = 5), 20% ( $\delta$  = 9) of the primary channels. From Fig. 3, we can see that  $\delta$  = 2 has the best performance and  $\delta$  = 0 has the worst performance. However, the difference is not too much. Moreover, sometimes  $\delta$  = 2 performs worse than others; e.g.,  $\delta$  = 5 performs better when the arrival rate is 650 under nonuniform distribution. When  $\delta$  = 0, even though the borrower only has one channel left, it may still lend the channel to other cells, in which case the lender is likely to run out of channel and borrow channels again. This can be solved by increasing  $\delta$ 

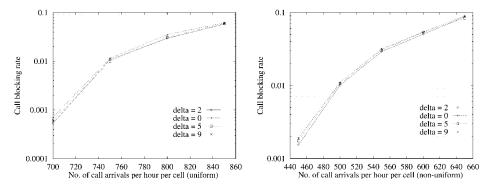


FIG. 3. Comparisons of call blocking rate.

to 2. However, further increasing  $\delta$  performs worse since Principle 1 may not be adequately considered. In the following, we assume  $\delta = 2$ .

#### 5.2. Simulation Results

Our simulation shows that m' and m'' (Table 1) are both below 0.01%.  $T'_d$ ,  $T'_d$ , and  $T'''_d$  are all below 1%. Thus, the effect of these parameters is negligible, and they are not considered when we analyze the message overhead and acquisition delay for the sake of simplicity.

## 5.2.1. Message Complexity per Channel Acquisition

As shown in Fig. 4, the number of messages per channel acquisition in the update approach is never lower than 2 \* n = 60. In the search approach and the proposed algorithm, the message complexity increases from near 0 to about 20 as the channel request load increases. As analyzed in Section 4.5, the message, complexity in the search approach and the proposed algorithm is decided by the percentage of secondary channel acquisition. When the channel request is low, most of the call requests can be satisfied by the primary channel acquisition. As channel request load increases, more cells run out of primary channels and have to make more secondary channel acquisitions.

From the analysis in Section 4.5, our algorithm has higher message complexity (3 \* n) than the search approach (2 \* n), but Fig. 4 shows that our algorithm has

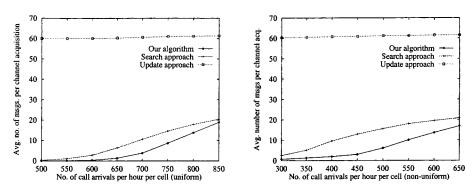


FIG. 4. Comparisons of message complexity.

Our algorithm

550 600

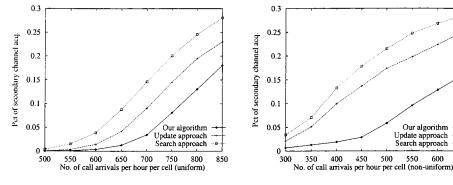
lower message complexity than the search approach. This can be explained by the fact that both algorithms have different secondary channel acquisition percentage (see Fig. 5). Note that the acquisition of a local available-borrowed channel is not considered a secondary channel acquisition, since in this case, the borrower does not need to contact with its interference neighbors. From Fig. 5, we can see that the search approach has a higher secondary channel acquisition rate than does our algorithm, since the search approach does not consider channel reuse, i.e., a cell just randomly borrows a channel from its neighbors whenever it needs a channel. In our algorithm, frequency is optimally reused by resource planning. Also, by following Principles 1 and 2, cells in our algorithm borrow channels less frequently than cells in the search approach (see Fig. 5). Also, keeping the borrowed channels reduces the number of channel borrowing.

Under nonuniform traffic distribution, only some cells are in the hot state, and most of the borrowers are hot cells (cells in the hot state). In our approach, when a cell finishes using the borrowed channel, it keeps the channel. Then, free channels are transfered to these hot cells, and hence, new communication sessions in the hot cells can be supported without borrowing channels again. Under uniform traffic distribution, when the traffic load is high, most cells run out of channels; when the traffic load is low, most of them have free channels. Thus, the advantage of keeping channels under uniform traffic distribution is not very significant compared to that under nonuniform traffic distribution. This explains why our approach has a much lower secondary channel acquisition percentage than other approaches under nonuniform traffic distribution compared to uniform traffic distribution.

Under uniform traffic distribution, when the traffic load becomes very high, e.g., there are 850 call arrivals per hour per cell, it is more likely that the lenders have less than  $\eta$  available channels, and hence the borrowers cannot keep the borrowed channel. As a result, the secondary channel acquisition percentage in our approach increases much faster compared to other approaches at this point. Certainly, it is still lower than the secondary channel acquisition percentage in other approaches.

# 5.2.2. Acquisition Delay per Channel Transfer

As shown in Fig. 6, the search approach has the highest secondary channel acquisition delay since it needs to confirm every borrowed channel. Both our



Percentage of secondary channel acquisition.

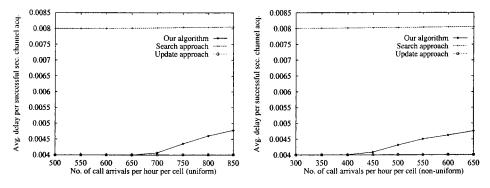


FIG. 6. Comparisons of secondary channel acquisition delay.

algorithm and the update approach almost reduce the acquisition delay by half as compared to the search approach. In our algorithm, a cell may select a *reserved* channel, which results in an extra round of *confirm* messages and doubles the acquisition delay. From Fig. 6, we see that the chance of selecting a reserved channel is less than 20%. If there is a time constraint, our algorithm can be modified so that another channel is selected if a reserved channel is chosen. However, this may increase the call blocking rate.

Because the primary channel acquisition delay is 0, and cells in our approach borrow channels less frequently than the search approach and the update approach (see Fig. 5), our algorithm has the lowest average acquisition delay among these approaches (see Fig. 7).

# 5.2.3. A Comparison of Call Blocking Rate

The blocking rate of our algorithm is compared with the geometric strategy, the search approach, the update approach, and the two-step strategy (Fig. 8). Because the geometric strategy, the update approach, the two-step strategy, and our algorithm are all based on the optimal resource planning model, the call blocking rates for these four approaches are not very different from one another, with our algorithm slightly outperforming the other three since only our algorithm follows both Principle 1 and Principle 2. In the geometric strategy, neither Principle 1 nor Principle 2 is followed.

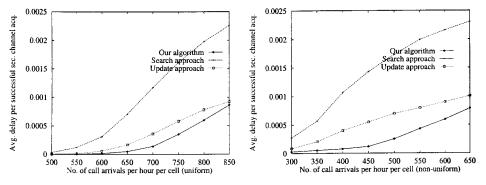


FIG. 7. Comparisons of average channel acquisition delay.

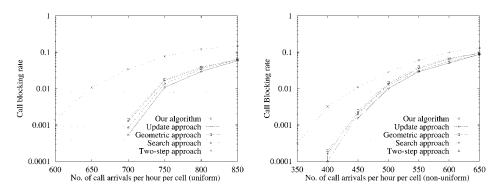
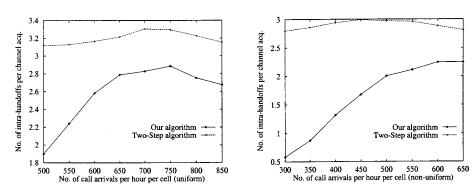


FIG. 8. Comparisons of call blocking rate.

The update approach only partially follows Principle 2, which makes it slightly outperform the geometric strategy. In the two-step strategy, only Principle 1 is considered, which makes it outperform the update approach. Compared to the search approach, our algorithm significantly reduces the call blocking rate. This is due to the fact that the search approach is a simple channel borrowing approach that does not consider any channel reuse. Moreover, the search approach locks the borrowed channel during channel borrowing, which also reduces channel reuse.

Note that under high traffic load in the nonuniform distribution, the two-step strategy slightly outperforms our algorithm (650 calls per hour per cell). However, the two-step strategy is a centralized algorithm, and it has poor reliability and scalability. Also, most of time, it has a higher call blocking rate than ours. From Fig. 9, we can see that our algorithm has fewer intrahandoffs than the two-step strategy. In the two-step strategy, when a primary channel is released, there will be an intrahandoff if a call is using a borrowed channel. In our approach, because a borrowed channel can be temporarily saved locally, this kind of intrahandoff is not necessary. According to our simulation parameters, a call on average experiences three interhandoffs and each interhandoff has a channel release which is more likely associated with an intrahandoff. Thus, there are about three intrahandoffs for each call. Both the geometric strategy and the update approach have intrahandoff overhead similar to that of the two-step strategy. The search approach does not have intrahandoff overhead, which is one of the key reasons that it has a high call blocking rate.



**FIG. 9.** Comparisons of intrahandoffs.

In the proposed algorithm, we use a threshold  $\theta$  to reduce the number of intrahandoffs. As explained in Section 3, the value of  $\theta$  can be fine tuned. Other methods can also be used to reduce the number of intrahandoffs. For example, a cell does not need to perform any intrahandoffs before it receives a channel borrowing request. When a cell receives a request, it requires necessary MHs (if there is any) to take an intrahandoff. Combined with the use of threshold  $\theta$ , the number of intrahandoffs can be further reduced. However, the new method may increase the acquisition delay since the lender cannot reply the borrower until the necessary intrahandoff has been completed.

#### 6. CONCLUSIONS

Distributed channel allocation algorithms have received considerable attention because of their high reliability and scalability. However, these algorithms are based either on the update approach, which has high message complexity, or on the search approach, which has long acquisition delay and high call blocking rate. In this paper, we identified two guiding principles in designing channel selection algorithms and proposed a novel distributed channel allocation algorithm that keeps the advantages and avoids the disadvantages of both approaches. Compared to the search approach, our algorithm significantly reduces the call blocking rate, cuts the secondary channel acquisition delay by almost half, and reduces the average channel acquisition delay by at least a factor of four. Compared to the update approach, our algorithm reduces the message overhead from 60 to 0 under low channel request load; under high channel request load, our algorithm reduces the message overhead by at least a factor of three. When compared to centralized channel allocation strategies, our algorithm outperforms them in terms of call blocking rate and intrahandoff overhead.

#### REFERENCES

- 1. A. Baiocchi, F. D. Priscoli, F. Grilli, and F. Sestini, The geometric dynamic channel allocation as a practical strategy in mobile networks with bursty user mobility, *IEEE Trans. Veh. Tech.* **44**, 1 (Feb. 1995), 14–23.
- R. Beck and H. Panzer, Strategies for handover and dynamic channel allocation in micro-cellular mobile radio systems, *IEEE Veh. Tech. Conf.* 38, 2 (May 1989), 668–672.
- D. Cox and D. Reudink, Increasing channel occupancy in large scale mobile radio systems: Dynamic channel reassignment, *IEEE Trans. Veh. Tech.* 22, 4 (Nov. 1973), 218–222.
- S. K. Das, S. K. Sen, and R. Jayaram, A dynamic loadbalancing strategy for channel assignment using selective borrowing in cellular mobile environments, ACM/Baltzer Wireless Networks (WINET) 3, 5 (1997), 333–347.
- S. K. Das, S. K. Sen, and R. Jayaram, A novel load balancing scheme for the tele-traffic hot spot problem in cellular network, ACM/Baltzer Wireless Networks (WINET) 4, 4 (1998), 325–340.
- X. Dong and T. H. Lai, An efficient priority-based dynamic channel allocation for mobile cellular networks, in "IEEE INFOCOM," 1997.
- X. Dong and T. H. Lai, Distributed dynamic carrier allocation in mobile cellular networks: Search vs. update, in "Proceedings, International Conference on Distributed Computing Systems," pp. 108–115, May 1997.

- 8. S. M. Elnoubi, R. Singh, and S. C. Gupta, A new frequency channel assignment algorithm in high capacity mobile communication systems, *IEEE Trans. Veh. Tech.* **31**, 3 (Aug. 1982), 125–131.
- 9. D. J. Goodman, Cellular packet communication, IEEE Trans. Comm. 38, 8 (Aug. 1990), 1272–1280.
- H. Jiang and S. Rappaport, Prioritized channel borrowing without locking: A channel sharing strategy for cellular communications, *IEEE/ACM Trans. Networking* 4, 2 (Apr. 1996), 163–172.
- T. J. Kahwa and N. D. Georganas, A hybrid channel assignment scheme in large-scale, cellularstructured mobile communication systems, *IEEE Trans. Comm.* 26, 4 (Apr. 1978), 432–438.
- 12. I. Katzela and M. Naghshineh, Channel assignment schemes for cellular mobile telecommunication systems: A comprehensive survey, *IEEE Personal Comm.* 3, 3 (Jun. 1996), 10–31.
- 13. L. Lamport, Time, clocks and ordering of events in distributed systems, *Comm. Assoc. Comput. Mach.* 21, 7 (July 1978), 558–565.
- V. H. Macdonald, Advanced mobile phone service: The cellular concept, Bell System Technical J. (Jan. 1979), 15–41.
- S. Nanda and D. J. Goodman, Dynamic resource acquisition: Distributed carrier allocation for TDMA cellular systems, in "Proceedings, GLOBECOM'91," pp. 883–889, Dec. 1991.
- L. Ortigozo-Guerrero and D. Lara-Rodriguez, A compact pattern with maximized channel borrowing strategy for mobile cellular networks, in "Proceedings, IEEE International Symposium on Personal, Indoor and Mobile Radio Communications," pp. 329–333, 1996.
- R. Prakash, N. Shivaratri, and M. Singhal, Distributed dynamic channel allocation for mobile computing, in "Proceedings, 14th ACM Symposium on Principles of Distributed Computing," pp. 47–56, 1995.
- G. Ricart and A. K. Agrawal, An optimal algorithm for mutual exclusion in computer networks, *Comm. Assoc. Comput. Mach.* 24 (1) (Jan. 1981), 9–17.
- 19. J. Tajima and K. Imamura, A strategy for flexible channel assignment in mobile communication systems, *IEEE Trans. Veh. Tech.* 37, 2 (May 1988).
- M. Zhang and T. S. Yum, Comparisons of channel assignment strategies in cellular mobile telephone systems, *IEEE Trans. Veh. Tech.* 38, 4 (Nov. 1989), 211–215.

GUOHONG CAO received the B.S. degree from Xian Jiaotong University, Xian, China. He received the M.S. and Ph.D. degrees in computer science from the Ohio State University in 1997 and 1999, respectively. He was a recipient of a Presidential Fellowship at The Ohio State University. Since Fall 1999, he has been an assistant professor of computer science and engineering at Pennsylvania State University. His research interests include distributed fault-tolerant computing, mobile computing, and wireless networks.

MUKESH SINGHAL is a full professor of computer and information science at The Ohio State University, Columbus. He received a bachelor of engineering degree in electronics and communication engineering with high distinction from University of Roorkee, Roorkee, India, in 1980 and a Ph.D. degree in computer science from the University of Maryland, College Park, in May 1986. His current research interests include operating systems, database systems, distributed systems, mobile computing, high-speed networks, computer security, and performance modeling. He has published over 100 refereed articles in these areas. He has coauthored two books, titled "Advanced Concepts in Operating Systems" (McGraw–Hill, 1994) and "Readings in Distributed Computing Systems" (IEEE Computer Society Press, 1993). He is currently the program director of the operating systems and compilers program at the National Science Foundation.